

Message Text

PAGE 01 STATE 039145
ORIGIN EB-08

INFO OCT-01 EUR-12 ISO-00 COME-00 DODE-00 NSAE-00
USIA-06 TRSE-00 SOE-02 DOE-11 CIAE-00 /040 R

DRAFTED BY EB/ITP/EWT: A REICHENBACH
APPROVED BY EB/ITP/EWT: W A ROOT
COM/OEA - EWALINSKY
EUR/CE - J HURLEY
EUR/NE - D GOODMAN

-----020595 151527Z /47

R 142255Z PEB 78
FM SECSTATE WASHDC
TO AMEMBASSY BERN
AMEMBASSY HELSINKI
AMEMBASSY STOCKHOLM
AMEMBASSY VIENNA

LIMITED OFFICIAL USE STATE 039145

E.O. 11652:

TAGS: ESTC, SZ, FI, SW, AU

SUBJECT: STUDY OF EXPORT CONTROLS ON PRODUCTS AND TECHNOLOGY

THE EXPORT ADMINISTRATION ACT OF ,969, AS AMENDED BY THE
EXPORT ADMINISTRATION AMENDMENTS OF 1977, REQUIRES UNDER
SECTION 117 (SPECIAL REPORT ON MULTILATERAL EXPORT CONTROLS)
THE FOLLOWING:

SEC. 117 NOT LATER THAN 12 MONTHS AFTER THE ENACTMENT OF
THIS SECTION THE PRESIDENT SHALL SUBMIT TO THE CONGRESS
A SPECIAL REPORT ON MULTILATERAL EXPORT CONTROLS IN WHICH
THE UNITED STATES PARTICIPATES PURSUANT TO THE EXPORT
LIMITED OFFICIAL USE
LIMITED OFFICIAL USE

PAGE 02 STATE 039145

ADMINISTRATION ACT OF 1969 AND PURSUANT TO THE MUTUAL
DEFENSE ASSISTANCE CONTROL ACT OF 1951. THE PURPOSE OF SUCH
SPECIAL REPORT SHALL BE TO ASSESS THE EFFECTIVENESS OF SUCH
MULTILATERAL EXPORT CONTROLS AND TO FORMULATE SPECIFIC
PROPOSALS FOR INCREASING THE EFFECTIVENESS OF SUCH
CONTROLS. THAT SPECIAL REPORT SHALL INCLUDE...(PARA 5) AN
ANALYSIS OF THE PROBLEM OF EXPORTS OF ADVANCED TECHNOLOGY
BY COUNTRIES NOT PARTICIPATING IN THE (COCOM) COMMITTEE,
INCLUDING SUCH EXPORTS BY SUBSIDIARIES OR AFFILIATES OF
U.S. BUSINESSES IN SUCH COUNTRIES..."

IN ORDER TO OBTAIN INFORMATION RELEVANT TO THIS REQUEST,
ADDRESSEE POSTS ARE ASKED TO APPROACH THE HOST GOVERNMENT
TO OBTAIN REPLIES TO THE FOLLOWING EIGHT QUESTIONS:

1. WHAT KIND OF ORGANIZATION AND LICENSING REQUIREMENTS
DOES THE HOST GOVERNMENT HAVE FOR MATTERS PERTAINING TO
THE CONTROL OF EXPORTS AND/OR TECHNOLOGY FOR WHAT THAT
GOVERNMENT MAY CONSIDER TO BE STRATEGIC GOODS? WHAT IS
ITS LEGAL BASIS?

2. WHAT DEPT IS RESPONSIBLE FOR THE CONTROL OF EXPORTS.

3. DOES THE ORGANIZATION HAVE AN ENFORCEMENT SERVICE OF
ITS OWN, OR IS IT STRICTLY A POLICY MAKING ORGANIZATION,
RELYING ON CUSTOMS OR CIVILIAN POLICE TO CARRY OUT
INVESTIGATIONS OR NON-COMPLIANCE WITH NATIONAL CONTROLS.

4. WHAT ARE THE CRITERIA FOR DETERMINING THE CONTROL OF
STRATEGIC OR SENSITIVE GOODS, IF SUCH CONTROLS EXIST?

IF POSSIBLE, OBTAIN COPY OF CRITERIA AND COMMODITIES
INVOLVED.

LIMITED OFFICIAL USE

LIMITED OFFICIAL USE

PAGE 03 STATE 039145

5. IS THE HOST COUNTRY SATISFIED WITH ITS CONTROL
ACTIVITIES? IF NOT, IS THERE ANY MOVEMENT TOWARD IM-
PROVING THE EFFECTIVENESS OF THE SYSTEM? HOW?

6. ARE U.S. FIRMS, SUBSIDIARIES OR AFFILIATES OPERATING
IN THE HOST COUNTRY SUBJECT TO THE SAME EXPORT CONTROL
REGULATIONS AS INDIGENOUS FIRMS? IF THE ANSWER IS NO,
HOW DOES TREATMENT DIFFER?

7. DOES THE HOST GOVERNMENT SIMILARLY CONTROL THE EXPORT
OF TECHNOLOGY COVERING THE SENSITIVE GOODS, OR DOES
THE CONTROL ON THE ITEM ITSELF EXTEND TO THE TECHNOLOGY
TO MAKE THAT ITEM? IF CONTROLS ON TECHNOLOGY EXIST,
OBTAIN IF POSSIBLE, A COPY OF THE APPLICABLE REGULATIONS.
IF NOT, HOW ARE CONTROLS OVER TECHNOLOGY ENFORCED?

8. TO WHAT GEOGRAPHIC AREAS ARE CONTROLS APPLICABLE?

FOR THE INFORMATION OF THE OFFICER PERFORMING THE
INTERVIEW.

IN ORDER RESPOND TO THE PRESIDENT, THE USG IS SEEKING
INFORMATION ON CONTROLS EXISTING IN INDUSTRIAL COUNTRIES
OUTSIDE OF THE COCOM FRAMEWORK. IN GENERAL, THE CONCERN
INVOLVES CONTROLS ON CERTAIN STRATEGIC GOODS OF
WESTERN DESIGN THAT COULD ENHANCE THE CAPABILITY OF

COMMUNIST COUNTRIES TO PRODUCE STRATEGIC (READ MILITARY)
GOODS DETERIMENTAL TO WESTERN SECURITY. HOST COUNTRIES
MAY HAVE OTHER CONTROLS (IE, AGRICULTURAL, NATIONAL
TREASURES, DRUGS) WHICH ARE ENFORCED FOR NATIONAL
PURPOSES. HOWEVER, FOR THE PURPOSE OF THIS EXERCISE,
OUR INTEREST IS RESTRICTED TO CONTROLS ON STRATEGIC ITEMS
AS VIEWED BY THE HOST GOVERNMENTS.

THE POST IS REQUESTED TO REPORT THE RESULTS OF ITS
LIMITED OFFICIAL USE
LIMITED OFFICIAL USE

PAGE 04 STATE 039145

FINDINGS TO THE DEPARTMENT WITHIN 30 DAYS OF RECEIPT OF
THIS REQUEST. VANCE

LIMITED OFFICIAL USE

<< END OF DOCUMENT >>

Message Attributes

Automatic Decaptioning: X
Capture Date: 02 sep 1999
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: n/a
Control Number: n/a
Copy: SINGLE
Draft Date: 14 feb 1978
Decaption Date: 01 jan 1960
Decaption Note:
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 20 Mar 2014
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978STATE039145
Document Source: ADS
Document Unique ID: 00
Drafter: EB/ITP/EWT: A REICHENBACH
Enclosure: n/a
Executive Order: 11652
Errors: n/a
Expiration:
Film Number: n/a
Format: TEL
From: STATE
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t197802118/baaabeun.tel
Line Count: 128
Litigation Code IDs:
Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE
Message ID: d9dc21cf-c288-dd11-92da-001cc4696bcc
Office: n/a
Original Classification: LIMITED OFFICIAL USE
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 3
Previous Channel Indicators:
Previous Classification: LIMITED OFFICIAL USE
Previous Handling Restrictions: n/a
Reference: n/a
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 05 may 2005
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: N/A
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 3470252
Secure: OPEN
Status: NATIVE
Subject: STUDY OF EXPORT CONTROLS ON PRODUCTS AND TECHNOL- OLOGY
TAGS: ESTC, SZ, FI, SW, AU
To: BERN HELSINKI MULTIPLE
Type: TE
vdkgvwkey: odb://SAS/SAS.dbo.SAS_Docs/d9dc21cf-c288-dd11-92da-001cc4696bcc
Review Markings:
Sheryl P. Walter
Declassified/Released
US Department of State
EO Systematic Review
20 Mar 2014
Markings: Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014